



99 Wall Street
6th floor
New York, New York 1005
Phone: 212-532-0100
Fax: 212-696-1347

▶ **AML Foreign Bank Notice**

**Prior to opening a Foreign account with us please read the following.
NYSE and NASD Joint Release on Section 311 of the USA PATRIOT Act**

On April 18, 2007, the NYSE and NASD jointly issued an Information Memo/Notice to Members concerning specific measures against specified banks pursuant to Rule 311 of the USA PATRIOT Act. These measures prohibit the direct use of correspondent accounts by the Specified Bank and due diligence to prevent indirect use.

Notice:

Pursuant to U.S. regulations issued under section 311 of the USA PATRIOT Act, 31 CFR 103.192, we are prohibited from opening or maintaining a correspondent account for, or on behalf of, The Banco Delta Asia SARM, including its subsidiaries Delta Asia Credit Limited and Delta Asia Insurance Limited (Banco Delta Asia or bank) are the subjects of the most recent notice. Banco Delta Asia is a commercial bank in Macau, Special Administrative Region, China. This measure is comparable to that imposed against the Latvian bank VEF Banka and its subsidiaries, including Veiksmes Iizings on August 14, 2006. These actions were taken because these banks and their subsidiaries are financial institutions of primary money laundering concern.

The regulations also require us to notify you that your correspondent account with our financial institution may not be used to provide the Specified Banks mentioned above with access to our financial institution. If we become aware that the Specified Banks mentioned above are indirectly using the correspondent account you hold at our financial institution, we will be required to take appropriate steps to prevent such access, including terminating your account.”

Thank you,
Wolf A Popper, Inc.
Compliance Department
